## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Applic	ation of:	)		
Stu	uart S.P. PARKIN et al.	) ) Group Art U	Jnit: ' TBA	
Serial No.:	TBA	) Group Art (	JIII. IBA	
Filed:	November 24, 2003	) Examiner:	TBA	
For:	MAGNETIC TUNNEL JUNCTIONS WITH IMPROVED TUNNELING MAGNETO- RESISTANCE	) ) Atty Dkt No )	o. ARC920030058US	1

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. § 1.56 and in compliance with 37 C.F.R. § 1.97(b), Applicants submit herewith a Form PTO-1449 listing patents, published patent applications and publications for consideration in connection with the above-identified application. A copy of each publication is provided herewith.

Applicants respectfully request that the Examiner consider and enter these documents into the file of the above-identified application. If any additional fee is deemed necessary, the Commissioner is authorized to charge Deposit Account No. 09-0441.

A general authorization under 37 C.F.R. § 1.25(b), second sentence, is hereby given to credit or debit Deposit Account No. 09-0441 for the instant filing and for any other fees during the pendency of this application under 37 C.F.R. §§ 1.16, 1.17 and 1.18.

Respectfully submitted,

Joseph P. Curtin

Registration No. 34,571 1469 N.W. Morgan Lane

Portland, Oregon 97229-5291

(503) 296-8373

(503) 297-0452 (facsimile)

Dated: November 24, 2003

PTO/SB/08A (04-03) Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Subst	titute for form 1449/PTO		Complete if Known			
0000			Application Number	TBA		
ПВАЯ	FORMATION DISCL	ACHDE	Filing Date	November 24, 2003		
			First Named Inventor	Stuart S.P. PARKIN		
SI	Tat <b>emen</b> t by appl	-	Art Unit	TBA		
(Use as many sheets as necessary)			Examiner Name	TBA		
Shoot	1 01	3	Attorney Docket Number	ARC920030058US1		

Examiner Cite Initials* No.	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
	No.	Number-Kind Code <sup>2</sup> (F known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	1	<sup>US-</sup> 5,341,188	08-23-1994	Parkin et al.	
	2	<sup>US-</sup> 5,465,185	11-07-1995	Heim et al.	
-	3	<sup>US-</sup> 5,640,343	06-17-1997	Gallagher et al.	
	4	<sup>US-</sup> 5,801,984	09-01-1998	Parkin	
	5	<sup>US-</sup> 5,841,692	11-24-1998	Gallagher et al.	
	6	<sup>US-</sup> 5,898,548	04-27-1999	Dill et al.	
	7	US- 6,028,786	02-22-2000	Nishimura	
	8	US- 6,097,625	08-01-2000	Scheuerlein	
	9	<sup>US-</sup> 6,166,948	12-26-2000	Parkin et al.	
	10	<sup>US-</sup> 6,341,053 B1	01-22-2002	Nakada et al.	
	11	<sup>US-</sup> 6,359,289 B1	03-19-2002	Parkin	
	12	<sup>US-</sup> 6,436,526 B1	08-20-2002	Odagawa et al.	
	13	<sup>US-</sup> 6,445,024 B1	09-03-2002	Kwon et al.	
	14	<sup>US-</sup> 6,518,588 B1	02-13-2003	Parkin et al.	
	15	US- 6,519,124 B1	02-11-2003	Redon et al.	
•	16	US- 6,522,573 B2	02-18-2003	Saito et al.	
	17	<sup>US-</sup> 6,538,861 B1	03-25-2003	Hayashi et al.	
	18	<sup>US-</sup> 6,538,919 B1	03-25-2003	Abraham et al.	
	19	<sup>US-</sup> 6,556,473 B2	04-29-2003	Saito et al.	

		FORE	IGN PATENT DOCU	MENTS		
Examiner Cite		Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (# known)	MM-DD-YYYY		Or Relevant Figures Appear	
						L
						L
						L
						╀
						₽

Examiner	Date	
Signature	Considered	
_		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \(^1\) Applicant's unique citation designation number (optional). \(^2\) See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. \(^3\) Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \(^4\) For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \(^3\) Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \(^4\) Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

ARC920030058US1

PTO/SB/08A (04-03)
Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO Application Number **TBA** Filing Date November 24, 2003 INFORMATION DISCLOSURE First Named Inventor Stuart S.P. PARKIN STATEMENT BY APPLICANT Art Unit TBA (Use as many sheets as necessary) **Examiner Name** TBA

Attorney Docket Number

Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	20	US- 2001/0005011 A1	06-28-2001	Minakata et al.	
	21	US- 2001/0026471 A1	10-04-2001	Michijima et al.	
	22	US- 2002/0054462 A1	05-09-2002	Sun et al.	
	23	<sup>US-</sup> 2002/0097534 A1	07-25-2002	Sun et al.	
	24	US- 2002/0154456 A1	10-24-2002	Carey et al.	
	25	<sup>US-</sup> 2002/0159203 A1	10-31-2002	Saito et al.	
	26	US- 2003/0035249 A1	02-20-2003	Ho et al.	
	27	US- 2003/0072109 A1	04-17-2003	Sharma et al.	
	1	US-			
		US-			
-		US-			

		FOREIG	N PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Te

		100 - 100
Examiner Signature	Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

PTO/SB/08B (04-03)
Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPART MENT OF COMMERCE

Substitut	te for form 1449/PTO			C mpl te if Known		
Gubsatot	10/10/11/14/07/170			Applicati n Number	TBA	
INFO	DRMATION	DIS	CLOSURE	Filing Date	November 24, 2003	
STA	STATEMENT BY APPLICANT			First Named Inventor	Stuart S.P. PARKIN	
	(Use as many she	ote se n	acassand	Art Unit	ТВА	
	(Ood as many and	1000 B3 11		Examiner Name	TBA	
Sheet	3	of	3	Attorney Docket Number	ARC920030058US1	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	28	"Artificial Antiferromagnet (AAF) biased spin-valves [Key Benéfits]", Shimadzu Application Note, Ref. NA99015.	
	29	S. Colis et al., "CoFe/Ir/CoFe Artificial Antiferromagnetic Sandwich as a Hard Magnetic Layer in Hard-Soft GMR Sensors," IEEE Transactions on Magnetics, Vol. 37, No. 4, pp. 1736-1738 July 2001	
	30	M. Julliere, "Tunneling Between Ferromagnetic Films," Physics Letters, Volume 54A, Number 3, pp. 225-226, 8 September 1975.	
	31	U.K. Klostermann et al., "Influence of a magnetic seed line on the switching behavour of submicrometre sized magnetic tunnel junctions," J. of Phys. D: Applied Phys. 34 (2001) 2117-2122.	
	32	R. Meservey et al., "Spin-polarized electron tunneling," PHYSICS REPORTS (Review Section of Physics Letters) 238, No. 4 (1994), pp. 173-243.	
	33	H.A.M van den Berg et al., "Magnetic Tunnel Sensors with Co-Cu Artificial Antiferromagnetic (AAF) Hard Subsystem," IEEE Transactions on Magnetics, Vol. 35, No. 5, pp. 2892-2894, September 1999.	
	34	CY. You et al., "Enhancement of switching stability of tunneling magnetoresistance systems with artificial ferrimagnets," Journal of Applied Physics, Volume 92, Number 7, pp. 3886-3889, 1 October 2002.	
,	35	S. Yuasa et al., "Spin-Polarized Resonant Tunneling In Magnetic Tunnel Junctions," Science, Vol. 297, pp. 234-237, 12-July-2002.	

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.